

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Steven M. H. WALLMAN
Serial Number : 10/627,646
Filed : July 28, 2003
For : METHOD AND APPARATUS FOR ENABLING INDIVIDUAL OR
: SMALLER INVESTORS OR OTHERS TO CREATE AND
: MANAGE A PORTFOLIO OF SECURITIES OR OTHER ASSETS
: OR LIABILITIES ON A COST EFFECTIVE BASIS
Art Unit : 3696
Examiner : Mr. Hao Fu

Honorable Assistant Commissioner for Patents
Washington, D.C. 20231

RESPONSE TO OFFICE ACTION

Sir:

In response to the Office action mailed on May 26, 2009 ("Office action"), Applicant respectfully requests reconsideration of the application in light of the following amendments and remarks.

Amendments to the Claims begin on page 2 of this paper.

Remarks begin on page 7 of this paper.